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PTO/SB/21 (08-00)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/040,862		
	Filing Date	November 6, 2001	
	First Named Inventor	Alexander GAIGER et al.	
	Group Art Unit	1645	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	6	Attorney Docket Number	014058-013520US

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): References (13 total) Return Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Peter K. Seperack	Reg. No. 47,932
Signature		
Date	October 21, 2002	

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PATENT
Attorney Docket No.: 014058-013520US
Client Reference No.: CID 00494C1

Assistant Commissioner for Patents
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On OCTOBER 21, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: *Maude J. Goffey*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Alexander GAIGER et al.

Application No.: 10/040,862

Filed: November 6, 2001

For: COMPOSITIONS AND METHODS
FOR THE DETECTION, DIAGNOSIS
AND THERAPY OF
HEMATOLOGICAL MALIGNANCIES

Examiner: To Be Assigned

Art Unit: 1645

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Reference nos. 2-3, 8-14, 19, and 23-25 are being submitted herewith. In accordance with 37 CFR §1.98(d), copies of reference nos. 1, 4-7, 15-18, and 20-22 can be found in Application No. 09/796,692, filed March 1, 2001 (Attorney Docket No. 014058-013512US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

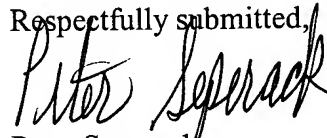
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement.

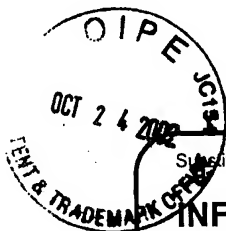
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Peter Seperack
Reg. No. 47,932

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

1

of

3

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Attorney Docket Number	014058-013520US

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TECH CENTER 1600/2900**U.S. PATENT DOCUMENTS**

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US 5,342,761	08-30-1994	MacLeod	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM- DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	2	PCT	WO 00/17222	A1	03-30-2000			<input type="checkbox"/>
	3	PCT	WO 01/38490	A2	05-31-2001			<input type="checkbox"/>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4	Blomberg, B. "Homo sapiens IGLC Gene" DATABASE EMBL 'Online!': ID HSIGLC3A Acc. no. X06876, April 2, 1988.	
	5	Callanan, M.B., et al. "The IgG Fc Receptor, FcγRIIB, is a Target for Deregulation by Chromosomal Translocation in Malignant Lymphoma" DATABASE EMBL 'Online!': Acc. no. AF209720, January 19, 2000.	
	6	DATABASE EMBL 'Online!': "NCI, CGAP, Tumor Gene Index," Acc. no. AI141708, September 28, 1998.	
	7	DATABASE EMBL 'Online!': "NIH Mammalian Gene Collection," Acc. no. AW405794, February 8, 2000.	
	8	DAVIS, R.S. et al. "Identification of a family of Fc receptor homologs with preferential B cell expression," PNAS USA August 14, 2001, pp. 9772-9777, Vol. 98, No. 17.	
	9	DAVIS, R.S. et al. "Identification of a family of Fc receptor homologs with preferential B cell expression," NCBI Sequence Viewer: Acc. no. AY043464, September 8, 2001.	
	10	DAVIS, R.S. et al. "Identification of a family of Fc receptor homologs with preferential B cell expression," NCBI Sequence Viewer: Acc. no. AY043465, September 8, 2001.	

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Sheet 2 of 3

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	11	DAVIS, R.S. et al. "Identification of a family of Fc receptor homologs with preferential B cell expression," NCBI Sequence Viewer: Acc. no. AY043466, September 8, 2001.	
	12	DAVIS, R.S. et al. "Identification of a family of Fc receptor homologs with preferential B cell expression," NCBI Sequence Viewer: Acc. no. AF397452, August 22, 2001.	
	13	DAVIS, R.S. et al. "Identification of a family of Fc receptor homologs with preferential B cell expression," NCBI Sequence Viewer: Acc. no. AF397453, August 22, 2001.	
	14	HATZIVASSILIOU, G. et al. "IRTA1 and IRTA2, novel immunoglobulin superfamily receptors expressed in B cells and involved in chromosome 1q21 abnormalities in B cell malignancy," <i>Immunity</i> March 2001, pp. 277-289, Vol. 14, No. 3.	
	15	Hillier, et al. "The WashU-Merck EST project" DATABASE EMBL 'Online!': Acc. no. R48079, May 24, 1995.	
	16	Hollis, et al. "Processed genes" DATABASE EMBL 'Online!': ID HSIGLAA Acc. no. K01326, June 13, 1985.	
	17	Klobeck, H.G. "Human Rearranged Immunoglobulin Lambda Light Chain mRNA" DATABASE EMBL 'Online!': Acc. no. X57804, July 15, 1991.	
	18	Klobeck, H.G. "Human Rearranged Immunoglobulin Lambda Light Chain mRNA" DATABASE EMBL 'Online!': Acc. no. X57820, July 15, 1991.	
	19	MILLER, I.J. et al. "IRTAs: a new family of immunoglobulin-like receptors differentially expressed in B cells," NCBI Sequence Viewer: Acc. no. AF459633, January 13, 2002.	
	20	Pilkington, et al. "Human clone rev16/20Fab" DATABASE EMBL 'Online!': Acc. no. L38562, January 7, 1995.	
	21	Simpson, A.J.G. "RC-6-HT0409-231299-011-A02" DATABASE EMBL 'Online!': Acc. no. AW384715, February 6, 2000.	
	22	Vasicek and Leder, "Human Myeloma U266 Cell Line" DATABASE EMBL 'Online!': Acc. no. AAQ22491, July 31, 1992.	

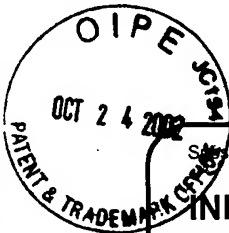
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	23	XU, M. et al. "Molecular cloning and characterization of SPAP1, an inhibitory receptor," <i>Biochem. & Biophys. Res. Comm.</i> 2001, pp. 768-775, Vol. 280.	
	24	XU, M.J. et al. "Molecular cloning and characterization of SPAP1, an inhibitory receptor," NCBI Sequence Viewer: Acc. no. AF319438, February 6, 2001.	
	25	XU, M.J. et al. "Molecular cloning and characterization of SPAP1, an inhibitory receptor," NCBI Sequence Viewer: Acc. no. AF319439, February 6, 2001.	

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